

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT** ( Not for submission under 37 CFR 1.99)

Application Number		10710972
Filing Date		2004-08-16
First Named Inventor	Wheeler et al.	
Art Unit	2132	
Examiner Name	Zand, Kambiz	IDS PART 2 OF 3 PARTS
Attorney Docket Number	10399-40260	

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	1	6000832		1999-12-14	Franklin et al	
	2	6000522		1999-12-14	Johnson	
	3	5970147		1999-10-19	Davis	
	4	5963648		1997-12-19	Rosen	
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	6	5949881		1999-09-07	Davis	
	7	5943423		1999-08-24	Muftic	
	8	5910989		1999-06-08	Naccache	

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	9	5910988		1999-06-08	Ballard	
	10	5903882		1999-05-11	Asay et al.	
	11	5887131		1999-03-23	Angelo	
	12	5878142		1999-03-02	Caputo et al.	
	13	5862327		1999-01-19	Kwang et al.	
	14	5848161		1998-12-08	Luneau et al.	
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	16	5796840		1998-08-18	Davis	
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	19	5781723		1998-07-14	Yee et al.	

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	20	5778072		1998-07-07	Samar	
	21	5751813		1998-05-12	Dorenbos	
	22	5745886		1998-04-28	Rosen	
	23	5724424		1998-03-03	Gifford	
	24	5721779		1998-02-24	Funk	
	25	5715314		1998-02-03	Payne et al.	
	26	5708780		1998-01-13	Levergood et al.	
	27	5694471		1997-12-02	Chen et al.	
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	30	5671285		1997-09-23	Newman	

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	31	5671279		1997-09-23	Elgamal	
	32	5659616		1997-08-19	Sudia	
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	34	5625690		1997-04-29	Michel et al.	
	35	5623637		1997-04-22	Jones et al.	
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	37	5615266		1997-03-25	Altschuler et al.	
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	46	5534855		1996-07-09	Shockley et al.	
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	49	5455865		1995-10-03	Perlman	
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	1	2271178	CA		1999-05-06	Moreau, Thierry		<input type="checkbox"/>

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	2	Trusted Platform Module (TPM) Security Policy (A Part of the "TCPA Security Policy"), Version 0.45, pp 1-13, 10/2/00	<input type="checkbox"/>
	3	Trusted Platform Module Protection Profile (TPMPP) (A Part of the "TCPA Security Policy"), Version 0.45, pp 1-151, 9/14/00	<input type="checkbox"/>
	4	TCPA PC Specific Implementation Specification, Version 0.95, pp 1-70, 7/4/01	<input type="checkbox"/>
	5	Common Criteria for Information Technology Security Evaluation, Smart Card Security User Group, Smart Card Protection Profile, draft Version 2.1d, pp 1-194, 3/21/01	<input type="checkbox"/>

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6	X.9.84-2000, Biometric Information Management Security for the Financial Services Industry, draft version, pp 1-141, 3/21/01	<input type="checkbox"/>
7	Security Requirements for Cryptographic Modules, FIPS Publication 140-2, pp 1-62, 5/25/01	<input type="checkbox"/>
8	Secure Hash Standard, FIPS Publication 180-1, pp 1-24, 4/17/95	<input type="checkbox"/>
9	Digital Signature Standard (DSS), FIPS Publication 186-2, pp 1-72, 1/27/00	<input type="checkbox"/>
10	Entity Authentication Using Public Key Cryptography, FIPS Publication 196, pp 1-52, 2/18/97	<input type="checkbox"/>
11	Computer Data Authentication, FIPS Publication 113, (web site at <a href="http://www.itl.nist.gov/fipspubs/fip113.htm">www.itl.nist.gov/fipspubs/fip113.htm</a> ), date of capture 1/16/01, pp 1-7, 5/30/85	<input type="checkbox"/>
12	The Electronic Commerce Challenge, Journal of Internet Banking and Commerce, (web site at <a href="http://www.arraydev.com/commerce/JIBC/9811-06.htm">http://www.arraydev.com/commerce/JIBC/9811-06.htm</a> ), pp 1-2, 03/31/99	<input type="checkbox"/>
13	Sales Brochure for APPROVEIT, electronic approval management software, by Silanis Technology, Inc., pp 1-12, 7/18/00	<input type="checkbox"/>
14	Public Key Infrastructure, An NSS Group White Paper, by Bob Walder, undated, reprinted <a href="http://www.nss.co.uk/WhitePapers/PublicKeyInfrastructure.htm">http://www.nss.co.uk/WhitePapers/PublicKeyInfrastructure.htm</a> , pp 1-18, data captured on 1/25/01	<input type="checkbox"/>
15	Smart Card & Security Basics, Cardlogix, Inc. brochure, pp 3-36, Ref. Date Q1-Q2 2000	<input type="checkbox"/>
16	Understanding Public Key Infrastructure (PKI), Technology White Paper, by RSA Security, Inc., pp 1-10, 1999	<input type="checkbox"/>

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17	CHAN, S.C., An Overview of Smart Card Security, 8/17/97, reprinted from website <a href="http://home.hkstar.com/~alanchan/papers/smarCardSecurity">http://home.hkstar.com/~alanchan/papers/smarCardSecurity</a> , pp 1-7, captured on 1/27/01	<input type="checkbox"/>
18	TASCHLER, S., Technical Introduction to CIP 3.0, 9/30/97, reprinted from website <a href="http://www.datakey.com/cardpage/cip.htm">http://www.datakey.com/cardpage/cip.htm</a> , pp 1-6, captured on 1/25/01	<input type="checkbox"/>
19	Smart Cards: Enabling Smart Commerce in the Digital Age, CREC/KPMG White Paper (Draft), pp 1-22, 5/98	<input type="checkbox"/>
20	"X9.59 Working Draft" ABA; American National Standard X9.59-199X, For the Financial Services Industry: Account-Based Secure Payment Objects, pp 1-26, 9/25/98	<input type="checkbox"/>
21	WHEELER, Lynn, Account Authority Digital Signature Model web page (web site at <a href="http://www.garlic.com/~lynn/aadsover.htm">http://www.garlic.com/~lynn/aadsover.htm</a> ) believed available at website after 11/8/97, 5 pp, date of capture 10/2/01	<input type="checkbox"/>
22	"How PGP Works," web pages from <a href="http://www.pgpi.org">www.pgpi.org</a> , containing basic information, data captured on 5/6/04	<input type="checkbox"/>
23	PGP Certificate Server, Total Network Security, "Network Associates, 3965 Freedom Circle, Santa Clara, CA 95044-1203, dated 10/99	<input type="checkbox"/>
24	"PGP Desktop Security 7.0," PGP Security, 3965 Freedom Circle, Santa Clara, CA 95054-1203, dated 7/00	<input type="checkbox"/>
25	"Policy Management Agent for SMTP," Network Associates, 3965 Freedom Circle, Santa Clara, CA 95054-1203, dated 12/99	<input type="checkbox"/>
26	"Inside PGP Key Reconstruction: Technical Details," Revision by Will Price, "A PGP Security, Inc. White Paper, dated 7/25/00	<input type="checkbox"/>
27	STEWART, D., "Account Authority Digital Signatures: Enabling Secure Internet Transactions via Existing Payment Processes & Infrastructure," ( <a href="http://www.garlic.com/~lynn/aadswp.htm">http://www.garlic.com/~lynn/aadswp.htm</a> ), data captured on 5/6/04	<input type="checkbox"/>



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28	O'MAHONEY, "Electronic Payment Systems," Artech House, Inc., pp. 51 and 77-80 (1961)	<input type="checkbox"/>
29	THIS IS THE SECOND OF THREE INFORMATION DISCLOSURE STATEMENTS SUBMITTED BY APPLICANT ON FEBRUARY 7, 2007.	<input type="checkbox"/>

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☐ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

☐ That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

☐ See attached certification statement.

☒ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/John R. Harris/	Date (YYYY-MM-DD)	2007-02-07
Name/Print	John R. Harris	Registration Number	30,388

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